PTO/SB/21 (05-03)

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TRANSMITTAL
FORM
Filing Date
September 23, 2003
First Named Inventor
Joseph Cargnelli
Art Unit
1753
Examiner Name

| Total Number of Pages in This Submission            |   |   | Attorne | ey Docket Number | 9351-242   |  |  |
|---|---|---|---------|------------------|--|--|--|
| ENCLOSURES (check all that apply)                   |   |   |         |                  |  |  |  |
| Fee Transmittal Form                                |   | Assignment Papers (for an Application)                            |         |                  | After Allowance Communication to Group                             |  |  |
| Fee Attached  |   | ☐ Drawing(s)  |         |                  | Appeal Communication to Board of Appeals and Interferences         |  |  |
| Amendment / Response                                |   | Licensing-related Papers  |         |                  | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  |  |  |
| ☐ After Final                                       |   | Petition  |         |                  | Proprietary Information  |  |  |
| Affidavits/declaration(s)                           |   | Petition to Convert to a Provisional Application                  |         |                  | Status Letter  |  |  |
| Extension of Time Request                           |   | Power of Attorney, Revocation<br>Change of Correspondence Address |         |                  | Other Enclosure(s) (please identify below):                        |  |  |
| Express Abandonment Request                         |   | ☐ Terminal Disclaimer ☐ Request for Refund                        |         |                  | Acknowledgement postcard<br>Letter<br>Copy of International Search |  |  |
| Information Disclosure Statement                    |   | CD, Number of CD(s)   |         | CD(s)            | Report<br>Cited documents  |  |  |
| Certified Copy of Priority Document(s)              |   | Rema  | rks     |                  |  |  |  |
| Response to Missing Parts/ Incomplete Application   |   |   |         | •                |  |  |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |         |                  |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT          |   |   |         |                  |  |  |  |
| Firm<br><i>or</i><br>Individual name                | BERESKIN & PARR, Ian C. McMillan, Registration No. 43,390 |   |         |                  |  |  |  |
| Signature   |   |   |         |                  |  |  |  |
| Date  | March 8, 2004   |   |         |                  |  |  |  |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Signature Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



lan C. McMillan B.Sc. (Math & Eng.), LL.B., LL.M. 416 957 1644 imcmillan@bereskinparr.com

Your Reference: 10/667,898 Our Reference: 9351-242

## INFORMATION DISCLOSURE STATEMENT

The Commissioner for Patents PO Box 1450 Alexandria, Virginia U.S.A 22313-1450

Dear Sir:

Re: United States Patent Application No. 10/667,898

Title: A FUEL CELL SYSTEM AND METHOD OF

**OPERATING THE SAME** 

Applicant: Joseph Cargnelli, Todd A. Simpson and Stephen Burany

In accordance with 37 CFR 1.56 and 1.97, subject to the waiver of the requirements of 37 CFR 1.98(a)(2)(i) provided by the United States Patent and Trademark Office Notice dated August 5, 2003, applicant hereby submits an Information Disclosure Statement including a listing, on PTO form 1449, all patents of which the applicant is now aware that may be considered material to the patentability of its claimed invention. The patents listed were not known to any individual designated in 37 CFR 1.56(c) as of three months ago.

The Examiner is advised, pursuant to provisions of 37 CFR 1.97(e) that each item of the information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart for an application not more than three months prior to filing of this statement. In particular, the information was cited in the International Search Report of the corresponding PCT application, which was received by the undersigned on February 18, 2004.

Please have these documents recorded against the above-mentioned application.

Yours truly,

lan C. McMillan

/lbr

Encl.

F 10/30/08 (03-03)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/667,898

Filing Date September 23, 2003

First Named Inventor Joseph Cargnelli

Art Unit 1753

Examiner Name

(use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number 9351-242

|                 |      |  | U.S. PATENT I    | DOCUMENTS  |  |  |
|-----------------|------|--|------------------|--|--|--|
| Examiner        | Cite | Document Number                            | Publication Date | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevar   |  |
| Initials * No.1 | No.' | Number - Kind Code <sup>2</sup> (if known) | MM-DD-YYYY       |  | Passages or Relevant<br>Figures Appear |  |
|                 | 1    | US- 5,771,476                              | 06-23-1998       | Mufford et al.                                     |  |  |
|                 | 2    | US- 5,991,670                              | 11-23-1999       | Mufford et al.                                     |  |  |
|                 | 3    | US- 6,342,316                              | 01-29-2002       | Okamoto et al.                                     |  |  |
|                 | 4    | US- 6,428,918                              | 08-06-2002       | Fuglevand et al.                                   |  |  |
|                 |      | US-  |                  |  |  |  |
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|  |      | FOREIGN PA   | TENT DOCU          | MENTS                                    |  |    |
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|  | Cite | Foreign Patent Document  | Publication        | Name of Patentee or                      | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T⁵ |
|  | No.1 | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)  | Date<br>MM-DD-YYYY | Applicant of Cited<br>Document           |  |    |
|  | 5    | EP 1,207,578   | 05-22-2002         | Honda Giken<br>Kogyo Kabushiki<br>Kaisha |  |    |
|  | 6    | EP 1,233,469   | 08-21-2002         | Delphi<br>Technologies, Inc.             |  |    |
|  | 7    | EP 1,256,992   | 11-13-2002         | Honda Giken<br>Kogyo Kabushiki<br>Kaisha |  |    |
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| Examiner<br>Signature | Date<br>Considered |  |
|-----------------------|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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